

**CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS**  
[OMB CONTROL NUMBER 1506-0043]

**TAIWAN COOPERATIVE BANK, Ltd.**  
**GLOBAL USA PATRIOT ACT CERTIFICATION**  
REGARDING CORRESPONDENT ACCOUNTS FOR TAIWAN COOPERATIVE BANK, Ltd.  
FOREIGN BANKS

*The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United State Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).*

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or broker-dealer in securities (a **covered financial institution** as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d)). \*

A **correspondent account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

*Special instructions for foreign branches of U.S. banks:* A branch or office of a U.S. bank outside the United State is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

*Special instructions for covering multiple branches on a single Certification:* A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

**A. The undersigned financial institution, TAIWAN COOPERATIVE BANK, Ltd. and all of its overseas branches as listed in the attachment("TCB Entities"), hereby certifies as follows:**

**B. Correspondent Accounts Covered by this Certification:**

**This Certification applies to all accounts established for TCB Entities by Covered Financial Institutions.**

**C. Physical Presence/Regulated Affiliate Status:**

**Each of TCB Entities maintains a physical presence in any country. That meas :**

- Each entity has a place of business at the street address set forth in the attachment hereto, where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities;
- Each entity is authorized to conduct banking activities in the country where its place of business is located;
- Each entity is subject to inspection by the BANKING AUTHORITY as indicated in the attachment hereto, that licensed the particular entity to conduct banking activities.

**D. Indirect Use of Correspondent Accounts:**

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. TCB Entities hereby certify that they do not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

**E. Ownership Information:**

TCB Entities have no owner(s) except as set forth below. For purposes of this Certification, owner means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) person means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) voting securities or other voting interests means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family\*\* shall be considered one person.

Immediate ownership:

<b>Name</b>	<b>TAIWAN COOPERATIVE FINANCIAL HOLDING CO., LTD.</b>
<b>Address</b>	<b>NO.225, SEC. 2, CHANGAN E. RD., SONGSHAN DIST., TAIPEI CITY 105404, TAIWAN, R.O.C.</b>

Ultimate owner:

<b>Name</b>	<b>MINISTRY OF FINANCE, R.O.C.</b>
<b>Address</b>	<b>NO.1 LANE 142 SEC.6 ROOSEVELT RD., TAIPEI CITY 116055, TAIWAN, R.O.C.</b>

**F. Process Agent:**

TAIWAN COOPERATIVE BANK, Ltd., SEATTLE BRANCH is a resident of the United States at the following street address: 1201 THIRD AVENUE, SUITE 1200, SEATTLE, WA98101, USA, and is authorized to accept service of legal process on behalf of TCB Entities

from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

**G. General**

TCB Entities hereby agree to update this Certification published on the web-site whenever there is any change in facts or circumstances reported in this Certification. Given the signature date in this Certification, the Covered Financial Institution can accurately examine when it is updated. The update will be completed within 30 calendar days of such change.

TCB Entities understand that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. TCB Entities further understands that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Yueh-Ching Lin (name of signatory), certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of TCB Entities.

TAIWAN COOPERATIVE BANK, Ltd.

[Name of Foreign Bank]

  
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[Signature]

Yueh-Ching Lin

[Printed Name]

SVP & GENERAL MANAGER

[Title]

Executed on June 4, 2024

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\* A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

\*\* The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

**ATTACHMENT TO CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR  
TAIWAN COOPERATIVE BANK , HEAD OFFICE AND ITS IVERSEAS BRANCHES**

TAIWAN COOPERATIVE BANK,Ltd. ENTITES	STREET ADDRESS	BANKING AUTHORITY
<b>HEAD OFFICE</b>		
Taiwan Cooperative Bank,Ltd.	NO.225, SEC. 2, CHANGAN E. RD., SONGSHAN DIST., TAIPEI CITY 105, TAIWAN (R.O.C.) I.B.D. address : 4F, NO.225, SEC. 2, CHANGAN E. RD., SONGSHAN DIST., TAIPEI CITY 105, TAIWAN (R.O.C.)	Financial Supervisory Commission, R.O.C. Central Bank of Republic of China (Taiwan)
<b>OVERSEAS BRANCH</b>		
Taiwan Cooperative Bank,Ltd. Seattle Branch	1201,Third Ave.,Suite 1200, Seattle WA 98101, USA	Federal Reserve Bank of San Francisco; Department of Financial Institutions for The State of Washington; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Los Angeles Branch	601, South Figueroa St., Suite 3500, Los Angeles, CA 90017, USA	Federal Reserve Bank of San Francisco; State of California Department of Financial Institution; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. New York Branch	88 Pine St., 31st Fl., New York, NY 10005, USA	Federal Reserve Bank New York Department of Financial Services Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Houston Branch	1200 Smith St, Suite 850, Houston, TX 77002, USA	Federal Reserve Bank Dallas Department of Financial Services Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Sydney Branch	Suite 101, Level 1, 50 Carrington Street, Sydney NSW 2000, Australia	Australian Prudential Regulation Authority; Financial Supervisory Commission, R.O.C.

Taiwan Cooperative Bank,Ltd. Manila Offshore Banking Branch	26th Floor BDO Towers Valero, 8741, Paseo de Roxas, Makati, Metro Manila, The Philippines	Banko Sentral Ng Pilipinas; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Hong Kong Branch	Suites 1303-1301,13th Floor, Everbright Centre, No. 108 Gloucester Road, WanChai, Hong Kong	Hong Kong Monetary Authority; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Phnom Penh Branch	NO. 171, Norodom Blvd Corner Street 322, Sangkat Boeng Keng Kang Ti Muoy, Khan Chamkarmon, Phnom Penh, Cambodia	National Bank of Cambodia; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Pur Senchey Sub-Branch	Building No. 62, National Road 4, Sangkat Chaom Chau, Khan Pur Senchey, Phnom Penh, Cambodia	National Bank of Cambodia; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Tuek Thla Sub-Branch	Tuek Thla Building No. A111, Confederation de la Russie Blvd Corner Northbridge Street, Sangkat Tuek thla, Khan Saen Sok, Phnom Penh, Cambodia	National Bank of Cambodia; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Siem Reap Sub-Branch	No.0583, National Road No.6A, Chongkaosou Village,Sangkat Sla Kram, Krong Siem Reap, Siemreap Province, Cambodia	National Bank of Cambodia; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. City Center Sub-Branch	NO. A2-2 ,Street 169, Sangkat Veal Vong, Khan Prampir Meakkakra, Phnom Penh, Cambodia	National Bank of Cambodia; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Veng Sreng Sub-Branch	No. 34-35, Preah Monireth Blvd.(217), Phum Damnak Thum, Sangkat Stueng Mean Chey 2, Khan Mean Chey, Phnom Penh, Cambodia.	National Bank of Cambodia; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Sihanouk Ville Sub-Branch	No.170-172, Street Ou 01, Phum Muoy, Sangkat Buon, Preah Sihanouk Ville, Preah Sihanouk Province, Cambodia.	National Bank of Cambodia; Financial Supervisory Commission, R.O.C.

Taiwan Cooperative Bank,Ltd Tuol Kouk Sub-Branch	Building 150B1 & 150D1, St.516, Phum 13, Sangkat Boeng Kak Ti Muoy, Khan Tuol Kouk, Phnom Penh, Cambodia	National Bank of Cambodia; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Suzhou Branch	Room 1601, No.24 Building, Times Square, Hua Chi St., SIP, Suzhou 215028, China	China Banking Regulatory Commission; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Suzhou New District Sub-Branch	Room 3601, 36 Floor, Shishan Road 28th, SND., Suzhou 215011, China	China Banking Regulatory Commission; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Tianjin Branch	Room 1801,1802,1803, 1804,1807, Floor 18, Golden Valley Center Building 1, No.1 Binjiang Road, Heping District, Tianjin, China	China Banking Regulatory Commission; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Fuzhou Branch	Room 2608, 26F, Shenglong Financial Center, Guangming Road No.1, Fuzhou 350000 China	China Banking Regulatory Commission; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Changsha Branch	Room 021-023, 28F, BOFO International Plaza, No.416, Section 1, Furong Middle Road, Kaifu Dist., Changsha 410003 China	China Banking Regulatory Commission; Financial Supervisory Commission, R.O.C.
United Taiwan Bank,Ltd.	1st Floor, Square de Meeus 1, 1000 Brussels, Belgium	The Banking, Financi and Insurance Commission (BFIC); Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Melbourne Branch	Suite 2, Level 2, 356 Collins Street, Melbourne, Vic 3000, Australia	Australian Prudential Regulation Authority; Financial Supervisory Commission, R.O.C.
Taiwan Cooperative Bank,Ltd. Vientiane Capital Branch	Unit 03 Dongpayna Road, Saphanthong Neua Village, Sisattanak District, Vientiane Capital, Lao PDR	Bank of Lao PDR; Financial Supervisory Commission, R.O.C.